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VALUE DISTRIBUTION OF *L*-FUNCTIONS AND MEROMORPHIC FUNCTIONS SHARING FINITE SETS

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Abstract: Let f be a non-constant meromorphic function with finitely many poles, and let L be an L-function in the Selberg class. In ([13]) the authors showed the existence of subsets $S, T \subset \mathbb{C}$ of 10 elements such that the condition $L^{-1}(S) = f^{-1}(T)$ implies f = hL for a non-zero constant h. In this paper, we present a class of such subsets $S, T \subset \mathbb{C}$ of 9 elements. As an application of this result, we obtain a class of subsets $S \subset \mathbb{C}$ of 9 elements such that the condition $L^{-1}(S) = f^{-1}(S)$ implies f = L. This result improves ([22], Theorem 7).

Keywords and Phrases: *L*-function, Selberg class, value distribution, uniqueness, meromorphic functions.

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1. Introduction. Main results

L-functions in the Selberg class, with the Riemann zeta function as a prototype, are important objects in number theory. In this paper, an *L*-function always means a non-constant *L*-function in the Selberg class S, with the normalized condition a(1) = 1, which is defined to be a Dirichlet series

$$L(s) = \sum_{i=0}^{\infty} \frac{a(n)}{n^s}$$

satisfying hypotheses:

(i) Ramanujan hypothesis; (ii) Analytic continuation; (iii) Functional equation;(iv) Euler product hypothesis (see ([23]).

In recent years the problem of determining L-functions by preimages of subsets caused increasing attentions.

On the other hand, an L-function can be analytically continued as a meromorphic function in the complex plane \mathbb{C} . Therefore, for the problem of determining L-functions by preimages of subsets one of the main tools is the Nevanlinna theory on the value distribution of meromorphic functions. Let us first recall some basic notions.

Let f be a non-constant meromorphic function in \mathbb{C} , and $a \in \mathbb{C} \cup \{\infty\}$. We assume that the reader is familiar with the notations of Nevanlinna theory (see, for example ([6]), ([8])): $T(r, f), N(r, f), m(r, f), \dots$

Denote by $E_f(a)$ the set of all a- points of f where an a- point is counted with its multiplicity, and by $\overline{E}_f(a)$ where an a- point is counted only one time (i.e., $\overline{E}_f(a) = f^{-1}(a)$). Let m be a positive integer. Denote by $E_{f,m}(a)$ the set of a-points of f with multiplicities $\leq m$, each a- point counted as many times as its multiplicity. For a non-empty subset $S \subset \mathbb{C} \cup \{\infty\}$, define $E_f(S) = \bigcup_{a \in S} E_f(a)$, and $\overline{E}_f(S) = f^{-1}(S)$, and $E_{f,m}(S) = \bigcup_{a \in S} E_{f,m}(a)$. Let \mathcal{F} be a non - empty subset of $\mathcal{M}(\mathbb{C})$. Two non-constant meromorphic functions f, g of \mathcal{F} are said to share S, counting multiplicity, (share $S \ CM$), if $\overline{E}_f(S) = E_g(S)$, and to share S, ignoring multiplicity, (share $S \ IM$), if $\overline{E}_f(S) = \overline{E}_g(S)$. If the condition $E_f(S) = E_g(S)$ (resp. $\overline{E}_f(S) = \overline{E}_g(S)$) implies f = g for any two non-constant meromorphic (entire) functions f, g of \mathcal{F} , then S is called a unique range set for meromorphic (entire) functions of \mathcal{F} counting multiplicity (resp. ignoring multiplicity).

In 1976 Gross [7] proved that there exist three finite sets S_j (j = 1, 2, 3) such that any two entire functions f and g satisfying $E_f(S_j) = E_g(S_j)$, j = 1, 2, 3 must be identical. In the same paper Gross [7] posed the following question:

Question A. Can one find two (or possible even one) finite set S_j (j = 1, 2) such that any two entire functions f and g satisfying $E_f(S_j) = E_g(S_j)$ (j = 1, 2) must be identical?

Many results have been obtained for this and related topics (see ([4]), ([5]), ([12]), ([13]), ([14]), ([15], [16]), ([26])).

The study of unique range sets primarily focuses on two topics:

a) Finding unique range sets with the smallest possible number of elements.

b) Characterizing the properties of unique range sets.

For Topic a), in 1998 (resp. 2000) Frank and Reinders ([4]) (resp. Fujimoto ([5])) exhibited a unique range set for meromorphic functions on \mathbb{C} counting mul-

tiplicity (resp. ignoring multiplicity) with 11 (resp. 17) elements.

In recent years many results have been obtained for the problem of determining L-functions by preimages of subsets (see [16], ([17]), ([19]), ([9]), ([23]), ([27])).

Steuding ([23]), Hu and Li ([9]) showed that an *L*-function is uniquely defined by the preimage of a single point $c \neq 1, \infty$, counting multiplicity. Hu and Wu ([24]), Yuan, Li, and Yi ([27]) obtained uniqueness theorems for *L*-functions sharing values in a finite subset of \mathbb{C} , counting multiplicities.

Concerning Question A it is natural to ask the following question:

Question B. Under what conditions on subsets $S, T \subset \mathbb{C} \cup \{\infty\}$ and non-constant meromorphic functions f and g the relation holds: either $E_f(S) = E_g(T)$ or $\overline{E}_f(S) = \overline{E}_g(T)$?

Many results have been obtained for this and related topics (see ([25]), ([20]), ([3]), ([21]), ([13])).

In response to Question B, the authors ([13]) showed the existence of subsets $S, T \subset \mathbb{C}$ of 10 elements such that for a non-constant meromorphic function f with finitely many poles, and a L-function L, the condition $L^{-1}(S) = f^{-1}(T)$ implies f = hL for a non-zero constant h.

Noting that the identity relationship between a *L*-function and a meromorphic functions is a specific instance of a linear dependency between the same functions.

Regarding this and Topic a), we may ask: What are the smallest cardinalities for such finite sets S, T such that the condition: $\overline{E}_f(S) = \overline{E}_g(T)$ implies f = hLfor a non-zero constant h?

In this direction, in this paper, we present a class of subsets $S, T \subset \mathbb{C}$ of 9 elements such that for a non-constant meromorphic function f with finitely many poles, and an non-constant L-function L, the condition $L^{-1}(S) = f^{-1}(T)$ and $E_{L',1}(0) = E_{f',1}(0)$ implies f = hL for a non-zero constant h.

Now let us describe main results of the paper. Consider polynomials $P(z), Q(z) \in \mathbb{C}[z]$ of degree n of the form:

$$P(z) = az^{n} + bz^{n-m} + c, \text{ where } a, b, c \neq 0;$$

$$Q(z) = uz^{n} + vz^{n-m} + t; \text{ where } u, v, t \neq 0.$$
(1.1)

Assume that:

$$\frac{a^{n-m}c^m}{b^n} \neq \frac{(-1)^n (n-m)^{n-m} m^m}{n^n},$$
(1.2)

$$\frac{u^{n-m}t^m}{v^n} \neq \frac{(-1)^n (n-m)^{n-m} m^m}{n^n}.$$
(1.3)

Note that polynomial P(z) (resp. Q(z)) has n distinct simple zeros if and only if the condition (1.2) (resp. (1.3)) is satisfied (see ([18], Lemma 2.7)).

We shall prove the following theorem.

Theorem 1. Let m, n be positive integers, $n \ge 2m + 7$, let P(z), Q(z) be polynomials of the form (1.1) with conditions (1.2) and (1.3), and let S, T be the zero sets of P, Q, respectively. Let f be a non-constant meromorphic function with finitely many poles in the complex plane and L be a L-function. Then we have:

1.
$$L^{-1}(S) = f^{-1}(T)$$
, and $E_{L',1}(0) = E_{f',1}(0)$ if only if $f = hL$ and $hS = T$,

where h is a non-zero constant satisfying $h^n = \frac{u}{cu}$ and $h^m = \frac{u}{ub}$.

2. In particular,
$$L^{-1}(S) = f^{-1}(T)$$
, and f is a L-function, and $E_{L',1}(0) = E_{f',1}(0)$ if only if $f = L$ and $\frac{a}{n} = \frac{b}{n} = \frac{c}{t}$.

Applications. We discuss some applications of Theorem 1.

Theorem 2. Let m, n be positive integers such that (n, m) = 1 and $n \ge 2m+7$, let P(z) be polynomial of the form (1.1) with conditions (1.2), and let S be the zero set of P. Suppose that $L^{-1}(S) = f^{-1}(S)$ and $E_{L',1}(0) = E_{f',1}(0)$ for a non-constant meromorphic function with finitely many poles f and a L-function L. Then we have: f = L.

Indeed, applying Theorem 1 with Q(z) = P(z), T = S, we get: f = hL and hS = S, where h is a non-zero constant satisfying $h^n = 1$ and $h^m = 1$. By (n, m) = 1 we obtain h = 1. So f = L.

Examples.

Let f be a non-constant meromorphic function with finitely many poles and let L be a non-constant L-function, S, T are the zero set of the polynomial P(z)and Q(z), respectively.

Example 1. Let

$$P(z) = z^{11} - \frac{11}{9}z^9 + 1, \ S = \{a_1, ..., a_{11}\}, \ Q(z) = z^{11} - \frac{11}{9}2^2z^9 + 2^{11}, \ T = \{b_1, ..., b_{11}\}.$$

Then

$$L^{-1}(S) = f^{-1}(T),$$

 $E_{L',1)}(0) = E_{f',1)}(0) \text{ if only if } f = hL, hS = T, \text{ where } h^{11} = 2^{11}, h^2 = 2^2.$

Now we show the necessary condition. We investigate conditions (1.2), (1.3). We have

$$a = u = 1, \ b = -\frac{11}{9}, \ c = 1, \ v = -\frac{11}{9}2^2, \ t = 2^{11}, \frac{9^{11}}{11^{11}} \neq \frac{9^92^2}{11^{11}}, \ 11 = 2.2 + 7.$$

Then applying Theorem 1 with n = 11, m = 2, a = u = 1, $b = -\frac{11}{9}$, c = 1, $v = -\frac{11}{9}2^2$, $t = 2^{11}$, we obtain:

$$f = hL, \ hS = T, \ \text{where} \ h^{11} = \frac{at}{cu} = 2^{11}, \ h^2 = \frac{av}{ub} = 2^2$$

Noticing that h = 2 satisfying condition above.

Now we show the sufficient condition. Assume that

$$f = hL$$
, where $h^{11} = \frac{at}{cu} = 2^{11}, h^2 = \frac{av}{ub} = 2^2$

Then $E_{L',1}(0) = E_{f',1}(0)$ and $h^9 = 2^9$, and by

$$Q(f) = f^{11} - \frac{11}{9}2^2f^9 + 2^{11} = 2^{11}(L^{11} - \frac{11}{9}L^9 + 1) = 2^{11}P(L)$$

we get

$$2^{11}(L-a_1)\cdots(L-a_{11}) = (f-b_1)\cdots(f-b_{11}).$$

From this it follows that $L^{-1}(S) = f^{-1}(T)$.

Example 2. Let $P(z) = z^9 - \frac{9}{8}z^8 + 1$. Then

$$L^{-1}(S) = f^{-1}(S), \ E_{L',1}(0) = E_{f',1}(0)$$
 if only if $f = L$.

Now we show the necessary condition. We investigate conditions (1.2). We have We have

$$\frac{8^9}{9^9} \neq \frac{8^8}{9^9}, \ 9 = 2.1 + 7.$$

Then applying Theorem 2 with n = 9, m = 1, and noticing that (9, 1) = 1, we obtain: f = L.

Now we show the sufficient condition. Assume that f = L. Then $E_{L',1}(0) = E_{f',1}(0)$. By

$$P(f) = f^9 - \frac{9}{8}f^8 + 1 = L^9 - \frac{9}{8}L^8 + 1 = P(L), \text{ we get}$$
$$(L - a_1)\cdots(L - a_9) = (f - b_1)\cdots(f - b_9).$$

From this it follows that $L^{-1}(S) = f^{-1}(S)$.

Remark. i) Theorem 1 improves a recent result in ([13], Theorem1.1).

ii) In ([22], Theorem7) the authors showed the existence of subsets $S_P \subset \mathbb{C}$ of 14 elements such that for a non-constant meromorphic function f with finitely many poles, and a L-function L, the condition $L^{-1}(S_P) = f^{-1}(S_P)$ and $L^{-1}(c) = f^{-1}(c)$ and $c \notin S_P$, implies f = L. So Theorem 2 improves ([22], Theorem7).

2. Preliminary results

We have other forms of two Fundamental Theorems of the Nevanlinna theory:

As an immediate consequence of the Nevanlinna's First fundamental theorem (([8], Theorem 1.2, p.5)) we have

Another form of the First Fundamental Theorem (see [26], Theorem 1.2, p.8). Let f(z) be a non-constant meromorphic function and let $a \in \mathbb{C}$. Then

$$T(r, \frac{1}{f-a}) = T(r, f) + O(1),$$

where O(1) is a bounded quantity depending on a.

A another form of the Second Fundamental Theorem (see [26], Theorem 1.6', p.22). Let f be a non-constant meromorphic function on \mathbb{C} and let $a_1, a_2, ..., a_q$ be distinct points of \mathbb{C} . Then

$$(q-1)T(r,f) \le \overline{N}(r,f) + \sum_{i=1}^{q} \overline{N}(r,\frac{1}{f-a_i}) - N_0(r,\frac{1}{f'}) + S(r,f),$$

where $N_0(r, \frac{1}{f'})$ is the counting function of those zeros of f', which are not zeros of the function $(f - a_1)...(f - a_q)$, and S(r, f) = o(T(r, f)) for all r, except for a set of finite Lebesgue measure.

We need some lemmas.

Lemma 2.1. ([6]) For any non-constant meromorphic function f, we have i) $T(r, f^{(k)}) \leq (k+1)T(r, f) + S(r, f);$ ii) $S(r, f^{(k)}) = S(r, f).$ ([28]) For any non-constant meromorphic function f,

$$N(r, \frac{1}{f'}) \le N(r, \frac{1}{f}) + \overline{N}(r, f) + S(r, f).$$

Definition. Let f be a non-constant meromorphic function, and k be a positive integer. We denote by $\overline{N}_{(k}(r, f)$ the counting function of the poles of order $\geq k$ of f, where each pole is counted only once. If z is a zero of f, denote by $\nu_f(z)$ its multiplicity. We denote by $\overline{N}(r, \frac{1}{f'}; f \neq 0)$ the counting function of the zeros z of f' satisfying $f(z) \neq 0$, where each zero is counted only once. Denote by

 $\overline{E}_f(a, \nu_{f-a} = k)$ the set of a-points of f with multiplicities k, where an a-point is counted only one time.

Let be given two non-constant meromorphic functions f and g. For simplicity, denote by $\nu_1(z) = \nu_f(z)$ (resp. $\nu_2(z) = \nu_g(z)$), if z is a zero of f (resp. g). Let $f^{-1}(0) = g^{-1}(0)$. We denote by $N(r, \frac{1}{f}; \nu_1 = \nu_2 = 1)$ (resp. $\overline{N}(r, \frac{1}{f}; \nu_1 > \nu_2 \ge 1)$) the counting function of the common zeros z, satisfying $\nu_1(z) = \nu_2(z) = 1$ (resp. $\nu_1(z) > \nu_2(z) \ge 1$, where each zero is counted only once), and by $N(r, \frac{1}{f}; \nu_1 \ge 2)$ the counting function of the zeros z of f, satisfying $\nu_1(z) \ge 2$. Similarly, we define the counting functions $\overline{N}(r, \frac{1}{g}; \nu_2 > \nu_1 \ge 1)$ and $N(r, \frac{1}{g}; \nu_2 \ge 2)$.

Lemma 2.2. Let f, g be two nonconstant meromorphic functions such that $f^{-1}(0) = g^{-1}(0)$. Set

$$F = \frac{1}{f}, \ G = \frac{1}{g}, \ H = \frac{F''}{F'} - \frac{G''}{G'}.$$

Suppose that $H \not\equiv 0$. 1) We have

([13], Lemma2.4)
$$N(r, H) \leq \overline{N}_{(2}(r, f) + \overline{N}_{(2}(r, g) + \overline{N}(r, \frac{1}{f}; \nu_1 > \nu_2 \geq 1) + \overline{N}(r, \frac{1}{g}; \nu_2 > \nu_1 \geq 1) + \overline{N}(r, \frac{1}{f'}; f \neq 0) + \overline{N}(r, \frac{1}{g'}; g \neq 0).$$

Moreover, if a is a common simple zero of f and g, then H(a) = 0.

2) Assume additionally that:

$$\overline{E}_f(0,\nu_1=1) \cap \overline{E}_g(0,\nu_2=2) = \emptyset \text{ and } \overline{E}_f(0,\nu_1=2) \cap \overline{E}_g(0;\nu_2=1) = \emptyset.$$

We have

$$\begin{split} \overline{N}(r,\frac{1}{f}) + \overline{N}(r,\frac{1}{g}) + 2\overline{N}(r,\frac{1}{f};\nu_1 \ge 2) + 2\overline{N}(r,\frac{1}{g};\nu_2 \ge 2) \\ \le N(r,H) + \frac{1}{2}(N(r,\frac{1}{f}) + N(r,\frac{1}{g})) + N(r,\frac{1}{f};\nu_1 \ge 2) + N(r,\frac{1}{g};\nu_2 \ge 2) \\ + S(r,f) + S(r,g). \end{split}$$

Proof. Applying ([6], p. 14) we get:

$$N(r, \frac{1}{f}) = \sum_{0 < |a_i| < r} \log \frac{r}{|a_i|} + n(0, \frac{1}{f}) \log r,$$

where the a_i 's are zeros of f, counting multiplicity, and

$$\overline{N}(r, \frac{1}{f}) = \sum_{0 < |a_i| < r} \log \frac{r}{|a_i|} + \overline{n}(0, \frac{1}{f}) \log r,$$

where the a_i 's are zeros of f, ignoring multiplicity.

Set

$$M = \overline{N}(r, \frac{1}{f}) + \overline{N}(r, \frac{1}{g}) + 2\overline{N}(r, \frac{1}{f}; \nu_1 \ge 2) + 2\overline{N}(r, \frac{1}{g}; \nu_2 \ge 2),$$
$$T = N(r, \frac{1}{f}; \nu_1 = \nu_2 = 1) + \frac{1}{2}(N(r, \frac{1}{f}) + N(r, \frac{1}{g})) + N(r, \frac{1}{f}; \nu_1 \ge 2) + N(r, \frac{1}{g}; \nu_2 \ge 2).$$

We first prove that $M \leq T$.

Let a be a zero of f with multiplicity p. From $\overline{E}_f(0) = \overline{E}_g(0)$, it follows that a is a zero of g with multiplicity q. We consider the following cases:

Case 1. Assume that p = q.

If p = q = 1, then a is counted with 1 + 1 + 0 + 0 = 2 times in M and it is counted with $1 + \frac{1}{2}(1+1) = 2$ times in T.

If $p = q \ge 2$, then a is counted with 1 + 1 + 2 + 2 = 6 times in M and it is counted with $0 + \frac{1}{2}(p+p) + p + p = 3p \ge 6$ times in T.

Case 2. Assume that p > q.

If p > q and q = 1, then $p \ge 3$ from $\overline{E}_f(0, \nu_1 = 2) \cap \overline{E}_g(0, \nu_2 = 1) = \emptyset$, and then *a* is counted with 1 + 1 + 2 + 0 = 4 times in *M* and by $p \ge 3$ we see that *a* is counted with $0 + \frac{1}{2}(p+1) + p + 0 = p + \frac{p+1}{2} \ge 5 > 4$ times in *T*.

If p > q and $q \ge 2$, then $p \ge 3$ and a is counted with 1 + 1 + 2 + 2 = 6 times in M, and by $p \ge 3$, and $q \ge 2$, we see that $p + q \ge 5$, and then a is counted with $0 + \frac{1}{2}(p+q) + p + q = 0 + \frac{3(p+q)}{2} > 6$ times in T.

Case 3. Assume that q > p.

The proof of Case 3 is completed by using the arguments similar to the ones in Case 2.

So $M \leq T$. Noting that if a is a common simple zero of f and g, then H(a) = 0,

we have

$$\overline{N}(r, \frac{1}{f}; \nu_1 = \nu_2 = 1) \le N(r, \frac{1}{H}) \le T(r, H) + O(1)$$

= $N(r, H) + m(r, H) + O(1)$
 $\le N(r, H) + m(r, \frac{F''}{F'}) + m(r, \frac{G''}{G'}) + O(1)$
 $\le N(r, H) + S(r, f) + S(r, g).$

Lemma 2.2 is proved.

Lemma 2.3. [19] Suppose L is a non-constant L-function, there is no generalized Picard exceptional value of L in the complex plane.

Lemma 2.4. [23] Let L be a non-constant L-function. Then

i) $T(r,L) = \frac{d_L}{\pi} r \log r + O(r)$, where $d_L = 2 \sum_{i=1}^{K} \lambda_i$ is the degree of L-function, and K, λ_i are respectively the positive integer and positive real number in the functional equation of the definition of L-functions;

ii) N(r,L) = S(r,L).

Lemma 2.5. [10] Let $L_1, ..., L_N$ be distinct non-constant L-functions. Then $L_1, ..., L_N$ are linearly independent over \mathbb{C} .

Lemma 2.6. [13] Let P(z), Q(z) be two non-constant polynomials of degree nand let f be a non-constant meromorphic function with finitely many poles in the complex plane, L be a non-constant L-function. Assume that f and L satisfy

$$\frac{1}{Q(f)} = \frac{c}{P(L)} + c_1,$$

where $c, c_1 \in \mathbb{C}$ and $c \neq 0$. Then $c_1 = 0$.

Lemma 2.7. [13] Let L be an L-function in the extended Selberg class, f be a meromorphic function, a, b, d, u, v, t be non-zero complex constants, n and m be positive integers, $n \ge 2m + 3$. Set

$$P(x) = ax^{n} + bx^{n-m} + d; \quad Q(y) = uy^{n} + vy^{n-m} + t$$

1. If P(L) = Q(f), then f = hL, where h is a constant, satisfying $h^n = a/u$, $h^{n-m} = b/v$ and d = t.

2. If (n,m) = 1, a = u, b = v, and P(L) = Q(f), then f = L and d = t.

3. If L_1, L_2 are L-functions in the extended Selberg class and $P(L_1) = Q(L_2)$, then $L_1 = L_2$ and P = Q.

3. Proof of Theorem 1

Recall that

$$P(z) = az^{n} + bz^{n-m} + d, \ Q(z) = uz^{n} + vz^{n-m} + t, a, b, d, u.v, t \neq 0.$$

Therefore, we have:

$$P(z) = a(z - a_1)...(z - a_n), P'(z) = Az^{n-m-1}(z - d_1)...(z - d_m), A \neq 0, d_i \neq d_j,$$

$$Q(z) = u(z - b_1)...(z - b_n), Q'(z) = Bz^{n-m-1}(z - t_1)...(z - t_m), B \neq 0, t_i \neq t_j, (3.1)$$

$$P(L) = a(L - a_1)...(L - a_n), Q(f) = u(f - b_1)...(f - b_n). (3.2)$$

$$[P(L)]' = AL^{n-m-1}(L - d_1)...(L - d_m)L', [Q(f)]' = Bf^{n-m-1}(f - t_1)...(f - t_m)f'.$$

$$(3.3)$$

The necessary condition.

Lemma 3.1. We have

1)

$$(n-1)T(r,L) + S(r,L) \le nT(r,f) + S(r,f),$$

2)

$$(n-1)T(r,f) + S(r,f) \le nT(r,L) + S(r,L), \ S(r,f) = S(r,L).$$

Proof. By Lemma 2.4, $T(r, L) = \frac{d_L}{\pi} r \log r + O(r)$, and therefore $\overline{N}(r, L) = S(r, L)$. On the other hand, we have

$$\overline{N}(r,f) = \sum_{0 < |a_i| < r} \log \frac{r}{|a_i|} + \overline{n}(0,f) \log r,$$

where the a_i 's are poles of f, ignoring multiplicity (see ([6], p. 14)). From this and f is a non-constant meromorphic function with finitely many poles it follows that $\overline{N}(r, f) = O(\log r)$, and so $\overline{N}(r, f) = S(r, L)$. Applying another form of the two Fundamental Theorems and noting that $\overline{E}_L(S) = \overline{E}_f(T)$, we obtain

$$(n-1)T(r,L) \leq \overline{N}(r,L) + \sum_{i=1}^{n} \overline{N}(r,\frac{1}{L-a_i}) + S(r,L),$$
$$(n-1)T(r,L) + S(r,L) \leq \sum_{i=1}^{n} \overline{N}(r,\frac{1}{f-b_i}) + S(r,f)$$
$$\leq nT(r,f) + S(r,f).$$

Similarly

$$(n-1)T(r,f) \le \overline{N}(r,f) + \sum_{i=1}^{n} \overline{N}(r,\frac{1}{f-b_{i}}) + S(r,f),$$
$$(n-1)T(r,f) + S(r,f) \le \sum_{i=1}^{n} \overline{N}(r,\frac{1}{L-a_{i}}) + S(r,L),$$
$$(n-1)T(r,f) + S(r,f) \le nT(r,L) + S(r,L).$$

Lemma 3.1 is proved.

We will prove that there is a constant $l \neq 0$ such that P(L) = lQ(f). Set

$$F = \frac{1}{P(L)}, \ G = \frac{1}{Q(f)}, \ H = \frac{F''}{F'} - \frac{G''}{G'},$$
$$S(r) = S(r,L) = S(r,f), \ T(r) = T(r,L) + T(r,f).$$
(3.4)

Then T(r, P(L)) = nT(r, L) + O(1) and T(r, Q(f)) = nT(r, f) + O(1), and hence S(r, P(L)) = S(r, L) and S(r, Q(f)) = S(r, f). We first prove that $H \equiv 0$. Suppose that $H \not\equiv 0$, on the contrary.

Claim 1. We have

$$i) (n-1)T(r,L) \leq \overline{N}(r,\frac{1}{P(L)}) - N_o(r,\frac{1}{L'}) + S(r), \text{ where } N_o(r,\frac{1}{L'}) \text{ is the counting}$$

function of those zeros of L', which are not zeros of the function $(L - a_1)(L - a_2) \cdots (L - a_n)$.

$$ii) (n-1)T(r,f) \leq \overline{N}(r,\frac{1}{Q(f)}) - N_o(r,\frac{1}{f'}) + S(r), \text{ where } N_o(r,\frac{1}{f'}) \text{ is the counting}$$

function of those zeros of f', which are not zeros of the function $(f-b_1)\cdots(f-b_n)$. **Proof.** i) Applying another form of the two Fundamental Theorems to L and the values a_1, a_2, \ldots, a_q , and noticing that

$$N(r,L) = S(r,L), \ \sum_{i=1}^{n} \overline{N}(r,\frac{1}{L-a_i}) = \overline{N}(r,\frac{1}{P(L)}),$$

we obtain

$$(n-1)T(r,L) \le \overline{N}(r,L) + \sum_{i=1}^{n} \overline{N}(r,\frac{1}{L-a_{i}}) - N_{o}(r,\frac{1}{L'}) + S(r,L),$$
$$(n-1)T(r,L) \le \overline{N}(r,\frac{1}{P(L)}) - N_{o}(r,\frac{1}{L'}) + S(r).$$

ii) The inequality for f is proved by a similar argument. Claim 1 is proved.

Claim 2. We have

$$N(r, H) \le (m+1)T(r) + N_o(r, \frac{1}{f'}) + N_o(r, \frac{1}{L'}) + S(r).$$

Proof.

Noting that H has only simple poles, from Lemma 2.2 we obtain

$$\begin{split} N(r,H) \leq &\overline{N}_{(2}(r,P(f)) + \overline{N}_{(2}(r,P(L))) + \\ &\overline{N}(r,\frac{1}{P'(f)};P(f) \neq 0) + \overline{N}(r,\frac{1}{P'(L)};P(L) \neq 0) + S(r). \end{split}$$

On the other hand,

$$\overline{N}_{(2}(r, P(L)) = \overline{N}(r, L) = S(r), \overline{N}_{(2}(r, Q(f))) = \overline{N}(r, f) = S(r).$$

Moreover, we have

$$\overline{N}(r, \frac{1}{[P(L)]'}; P(L) \neq 0) \leq \overline{N}(r, \frac{1}{L}) + \sum_{i=1}^{m} \overline{N}(r, \frac{1}{L-d_i}; (L-a_1) \cdots (L-a_n) \neq 0) + N_o(r, \frac{1}{L'}) \leq \overline{N}(r, \frac{1}{L}) + \sum_{i=1}^{m} \overline{N}(r, \frac{1}{L-d_i}) + N_o(r, \frac{1}{L'}) \leq (m+1)T(r, L) + N_o(r, \frac{1}{L'}) + S(r).$$

Thus

$$\overline{N}(r, \frac{1}{[P(L)]'}; P(L) \neq 0) \le (m+1)T(r, L) + N_o(r, \frac{1}{L'}) + S(r).$$

Similarly,

$$\overline{N}(r, \frac{1}{[Q(f)]'}; P(f) \neq 0) \le (m+1)T(r, f) + N_o(r, \frac{1}{f'}) + S(r).$$

Claim 2 is proved.

Claim 3. We have

$$\overline{N}(r, \frac{1}{P(L)}) + \overline{N}(r, \frac{1}{Q(f)}) \le (\frac{n}{2} + m + 2)T(r) + N_o(r, \frac{1}{L'}) + N_o(r, \frac{1}{f'}) + S(r).$$

Proof. Set $\nu_{P(L)}(z) = \nu_1, \nu_{Q(f)}(z) = \nu_2$. Then,

$$\overline{E}_{P(L)}(0,\nu_1=1)\cap\overline{E}_{Q(f)}(0,\nu_2=2)=\emptyset.$$

Indeed, suppose that $\overline{E}_{P(L)}(0, \nu_1 = 1) \cap \overline{E}_{Q(f)}(0, \nu_2 = 2) \neq \emptyset$, on the contrary. From this and $P(L) = a(L - a_1)...(L - a_n)$, $Q(f) = u(f - b_1)...(f - b_n)$ it follows that there exists a_i, b_j and z_0 such that $z_0 \in \overline{E}_L(a_i, \nu_{L-a_i} = 1)$ and $z_0 \in \overline{E}_f(b_j, \nu_{f-b_j} = 2)$. Therefore, $z_0 \in E_{f',1}(0)$. Because $E_{f',1}(0) = E_{L',1}(0)$ we get $z_0 \in E_{L',1}(0)$, which is a contradiction since $\nu_{L-a_i}(z_0) = 1$ and $(L - a_i)' = L'$. So

$$\overline{E}_{P(L)}(0,\nu_1=1)\cap\overline{E}_{Q(f)}(0,\nu_2=2)=\emptyset.$$

Similarly, we have

$$\overline{E}_{P(L)}(0,\nu_1=2)\cap\overline{E}_{Q(f)}(0,\nu_2=1)=\emptyset.$$

Now applying the Lemma 2.2 to the functions P(L), Q(f) and noticing that

$$\overline{N}_{(2}(r, P(L)) = \overline{N}(r, L) = S(r), \overline{N}_{(2}(r, P(f))) = \overline{N}(r, f) = S(r),$$

we obtain

$$N(r, H) \leq \overline{N}(r, \frac{1}{P(L)}; \nu_1 > \nu_2 \geq 1) + \overline{N}(r, \frac{1}{Q(f)}; \nu_2 > \nu_1 \geq 1) + \overline{N}(r, \frac{1}{[P(L)]'}; P(L) \neq 0) + \overline{N}(r, \frac{1}{[Q(f)]'}; Q(f) \neq 0) + S(r), \quad (3.5)$$

and

$$\overline{N}(r, \frac{1}{P(L)}) + \overline{N}(r, \frac{1}{Q(f)}) + 2\overline{N}(r, \frac{1}{P(L)}; \nu_1 \ge 2) + 2\overline{N}(r, \frac{1}{Q(f)}; \nu_2 \ge 2) \le N(r, H) + \frac{1}{2}(N(r, \frac{1}{P(L)}) + N(r, \frac{1}{Q(f)})) + N(r, \frac{1}{P(L)}; \nu_1 \ge 2) + N(r, \frac{1}{Q(f)}; \nu_2 \ge 2) + S(r).$$
(3.6)

From (3.5) and (3.6) and noticing that

$$\overline{N}(r, \frac{1}{P(L)}; \nu_1 > \nu_2 \ge 1) \le \overline{N}(r, \frac{1}{P(L)}; \nu_1 \ge 2),$$

$$\overline{N}(r, \frac{1}{Q(f)}; \nu_2 > \nu_1 \ge 1) \le \overline{N}(r, \frac{1}{Q(f)}; \nu_2 \ge 2),$$

we get

$$\overline{N}(r, \frac{1}{P(L)}) + \overline{N}(r, \frac{1}{Q(f)}) \le (m+1)T(r) + \frac{1}{2}(N(r, \frac{1}{P(L)}) + N(r, \frac{1}{Q(f)})) + N(r, \frac{1}{P(L)}; \nu_1 \ge 2) - \overline{N}(r, \frac{1}{P(L)}; \nu_1 \ge 2) + N(r, \frac{1}{Q(f)}; \nu_2 \ge 2) - \overline{N}(r, \frac{1}{Q(f)}; \nu_2 \ge 2) + N_o(r, \frac{1}{L'}) + N_o(r, \frac{1}{f'}) + S(r).$$
(3.7)

On the other hand, from $P(L) = a(L - a_1)...(L - a_n)$ it follows that if z_0 is a zero of P(L) with multiplicity $d \ge 2$, then z_0 is a zero of $L - a_i$ with multiplicity $d \ge 2$ for some $i \in \{1, 2, ..., n\}$, and therefore, it is a zero of L' with multiplicity d - 1, so we have

$$N(r, \frac{1}{P(L)}; \nu_1 \ge 2) - \overline{N}(r, \frac{1}{P(L)}; \nu_1 \ge 2) \le N(r, \frac{1}{L'}).$$

From this and Lemma 2.1 we obtain

$$N(r, \frac{1}{P(L)}; \nu_1 \ge 2) - \overline{N}(r, \frac{1}{P(L)}; \nu_1 \ge 2) \le N(r, \frac{1}{L'}) \le N(r, \frac{1}{L}) + \overline{N}(r, L) + S(r, L) \le T(r, L) + S(r, L).$$

Similarly, we have

$$N(r, \frac{1}{Q(f)}; \nu_2 \ge 2) - \overline{N}(r, \frac{1}{Q(f)}; \nu_2 \ge 2) \le N(r, \frac{1}{f'}) \le T(r, f) + S(r, f).$$

Therefore,

$$N(r, \frac{1}{P(L)}; \nu_1 \ge 2) - \overline{N}(r, \frac{1}{P(L)}; \nu_1 \ge 2) + N(r, \frac{1}{Q(f)}; \nu_2 \ge 2) - \overline{N}(r, \frac{1}{Q(f)}; \nu_2 \ge 2) \le T(r) + S(r).$$
(3.8)

Combining (3.7)-(3.8) and noticing that $N(r, \frac{1}{P(L)}) + N(r, \frac{1}{Q(f)}) \le \frac{n}{2}T(r)$ we get

$$\overline{N}(r, \frac{1}{P(L)}) + \overline{N}(r, \frac{1}{Q(f)}) \le (\frac{n}{2} + m + 2)T(r) + N_o(r, \frac{1}{L'}) + N_o(r, \frac{1}{f'}) + S(r).$$

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Claim 3 is proved.

Now we use Claims 1, 3 to obtain a contradiction, and complete the proof of $H \equiv 0$. Claim 1 and Claim 3 give us

$$(n-1)T(r) \le (\frac{n}{2} + m + 2)T(r) + S(r), \ (n-2m-6)T(r) \le S(r),$$

which is a contradiction since $n \ge 2m + 7$.

So $H \equiv 0$. Therefore, $\frac{1}{Q(f)} = \frac{l}{P(L)} + c_1$ for some constants $l \neq 0$ and c_1 . By Lemma 2.6 we obtain $c_1 = 0$. Thus there is a constant $l \neq 0$ such that P(L) = lQ(f). That is

$$aL^{n} + bL^{n-m} + c = luf^{n} + lvf^{n-m} + lt.$$
(3.9)

Now we return proof the necessary condition of Theorem 1.

Then we have (3.9). Applying Lemma 2.7 to equation (3.9) we get

$$aL^{n} + bL^{n-m} + c = luf^{n} + lvf^{n-m} + lt, \ f = hL, \ l = \frac{c}{t}, h^{n} = \frac{a}{lu}, \ h^{m} = \frac{lv}{b}\frac{at}{uc}$$

Therefore $h^n = \frac{at}{cu}$, $h^m = \frac{av}{ub}$. Because f = hL, we have $E_{L',1}(0) = E_{f',1}(0)$. Now we prove hS = T. Take $a_i \in S, i = 1, ..., n$. By Lemma 2.3, there exists $z_0 \in \mathbb{C}$ such that $L(z_0) - a_i = 0$. Moreover, from P(L) = lQ(f) and (3.2) we obtain

$$P(L) = a(L - a_1)...(L - a_n), \ u(f - b_1)...(f - b_n) = Q(f);$$

$$a(L - a_1)...(L - a_n) = lu(f - b_1)...(f - b_n).$$
(3.10)

By (3.10) we see that: $L(z_0) - a_i = 0$ if and only if z_0 is a zero of P(L) and $L(z_0) - a_j \neq 0$ with $i \neq j$, and therefore, there exists a unique $b_k \in \{b_1, ..., b_n\}$ such that $f(z_0) - b_k = 0$. Because f = hL, we have $hL(z_0) - b_k = 0$, and therefore $ha_i = b_k$. From this and cardinalities of S, T are n it follows that hS = T. **The sufficient condition.** Then we have:

$$P(L) = aL^{n} + bL^{n-m} + c, \ Q(f) = uf^{n} + vf^{n-m} + t,$$

$$f = hL, h^{n} = \frac{at}{cu}, h^{m} = \frac{av}{ub}, Q(f) = uh^{n}L^{n} + vh^{n-m}L^{n-m} + t$$

Therefore,

$$E_{L',1}(0) = E_{f',1}(0), \ tP(L) = cQ(f) \ \text{and} \ at(L-a_1)...(L-a_n) = cu(f-b_1)...(f-b_n)$$
(3.11)

From this it follows that $L^{-1}(S) = f^{-1}(T)$.

The necessary condition. Then, by 1/ we get: f = hL, where h is a non-zero constant satisfying $h^n = \frac{at}{cu}$, $h^m = \frac{av}{ub}$. If $f \in S$, then f = L from Lemma 2.5, and then h = 1, $\frac{a}{u} = \frac{b}{v} = \frac{c}{t}$.

The sufficient condition. The proof is completed by using the arguments similar to the ones in the sufficient condition of 1.

Theorem 1 is proved.

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