

ON NORMALISATION OF HALF-INTEGRAL WEIGHT  
MODULAR FORMS

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**Abstract:** In this paper, we derive the algebraic nature of the Fourier coefficients of the Hecke eigenform  $f$  of weight  $k + 1/2$  for  $\Gamma_0(4N)$ , where  $k \geq 2$  and  $N$  is an odd and square-free integer.

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### 1. Introduction

Let  $k \geq 2$  be an integer. Let  $N$  be an odd and square - free integer. Let  $f$  be a cusp form in Kohnen plus space of weight  $k + 1/2$  for  $\Gamma_0(4N)$  as defined in [3], [4] so that  $a_f(n) = 0$  whenever,  $(-1)^k n \equiv 2, 3 \pmod{4}$ . Let  $F$  be a cusp form and a normalized newform of weight  $2k$ , level  $N$ . Then it is known that the Fourier coefficients  $a_f(n)$  can be taken as real and algebraic numbers whenever  $f$  is an Hecke eigenform which corresponds to  $F$  via Shimura - Kohnen lifts. In this note, we present a proof of this fact and also derive the same fact for a Hecke eigenform  $f$  which is in the old classes under the assumption that  $f$  is an eigenform under all

the  $w$  - operators  $w_p$  (see the definition in [4]) for various prime  $p$  dividing  $N$  and the Hecke operators  $T_{n^2}$ ,  $(n, N) = 1$ .

## 2. Notations

Throughout this paper, the letters  $k, m, M, N$  stand for natural numbers and  $2|k$ . ( $k > 1, m \equiv 1 \pmod{4}$  is a square-free odd integer). Let  $N$  be a square-free integer,  $(m, N) = 1$ . Let  $\tau$  be an element of  $\mathbb{H}$ , the complex upper half-plane. Let  $\mathbb{C}$  and  $\mathbb{Z}$  respectively denote the complex plane and the ring of integers.

For a complex number  $z$ , we write  $\sqrt{z}$  for the square root with argument in  $(-\pi, \pi]$  and we set  $z^{a/2} = (\sqrt{z})^a$  for any  $a \in \mathbb{Z}$ .

For integers  $a, b$ , let  $\left(\frac{a}{b}\right)$  denote the generalized quadratic residue symbol. Let  $d(c)$  denote  $d \pmod{c}$ ,  $c, d \in \mathbb{Z}$ .

The space of modular forms of weight  $2k$  and level  $N$  is denoted as  $M_{2k}(N)$  and its sub space of all the cusp forms by  $S_{2k}(N)$ . For cusp forms  $f, g$  in the space  $S_{2k}(N)$ , we denote their Petersson scalar product by  $\langle f, g \rangle$ .

We write the Fourier expansion of a modular form  $f$  as

$$f(\tau) = \sum_{n \geq 0} a_f(n) e^{2\pi i n \tau}.$$

For the details of modular forms of weight  $2k$  level  $N$ , we refer to [8].

## 3. Definitions

**Definition 3.1.** *Modular forms of half-integral weight [2]*

Let  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ ,  $\gamma z = \frac{az+b}{cz+d}$ . In the transformation rule  $f(\gamma z) = (cz+d)^k f(z)$  the term  $(cz+d)^k$  is called the automorphy factor. It depends on  $\gamma$  and on  $z$ . It is denoted as  $J(\gamma, z)$  for a non-zero function  $f$  and has the property that  $f(\gamma z) = J(\gamma, z) f(z)$  for  $z \in \mathbb{H}$  and  $\gamma$  in some matrix group.

Let  $G$  denote the four-sheeted covering of  $GL_2^+(\mathbb{Q})$  defined as the set of all ordered pairs  $(\alpha, \phi(\tau))$ , where  $\alpha (= \begin{pmatrix} a & b \\ c & d \end{pmatrix}) \in GL_2^+(\mathbb{Q})$  and  $\phi(z)$  is a holomorphic function on  $\mathbb{H}$  such that  $\phi^2(z) = t \frac{cz+d}{\sqrt{\det \alpha}}$  for some  $t$  with  $t = 1, -1, i, -i$ . Then  $G$  is a group with the following multiplication rule.

$$(\alpha, \phi(z))(\beta, \psi(z)) = (\alpha\beta, \phi(\beta z)\psi(z)).$$

For a complex valued function  $f$  defined on the upper half-plane  $\mathbb{H}$  and an element  $(\alpha, \phi(z)) \in G$ , define the stroke operator by

$$f|_{k+1/2}(\alpha, \phi(z))(z) = \phi(z)^{-2k-1} f(\alpha z).$$

If  $\alpha = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(4)$ , we always let  $j(\alpha, z) = \left(\frac{c}{d}\right) \left(\frac{-4}{d}\right)^{-1/2} (cz+d)^{1/2}$  so that  $(\alpha, j(\alpha, z)) \in \mathbb{G}$ .

**Definition 3.2.** *Hecke operators for half-integral weight*

For  $n$  a positive integer and  $f \in M_k(\Gamma)$  ( $\Gamma$  is a congruence subgroup of  $\Gamma_0(4)$ ) we can define  $f|T_n$  as follows. Let  $\Delta^n$  be the set of all  $2 \times 2$  matrices with integer entries and determinant  $n$ . For any double coset  $\Gamma\alpha\Gamma \subset \Delta^n$ , where  $\alpha \in \Delta^n$ , we define  $f|[\Gamma\alpha\Gamma]_k = \sum f|[\alpha\gamma_j]_k$ , where the sum is over all right cosets  $\Gamma\alpha\gamma_j \subset \Gamma\alpha\Gamma$ ; equivalently,  $\gamma_j$  runs through a complete set of right coset representatives of  $\Gamma$  modulo  $\alpha^{-1}\Gamma\alpha \cap \Gamma$ . Then

$$f|T_n \stackrel{\text{def}}{=} n^{(k/2)-1} \sum f|[\Gamma\alpha\Gamma]_k,$$

where the sum is over all double cosets of  $\Gamma$  in  $\Delta^n$ .

A modular form  $f(z) \in M_k(\Gamma)$  is called a Hecke eigenform if for every positive integer  $m$  there exists  $\lambda_m \in \mathbb{C}$  with  $T_m(f) = \lambda_m(f)$ .

**Definition 3.3.** Let  $S_{k+1/2}(4N)$  denote the space of cusp forms of weight  $k + 1/2$  for  $\Gamma_0(4N)$ . It contains all the holomorphic functions on  $\mathbb{H}$  with complex values and the functions are holomorphic at all the rational points and each of them satisfies the transformation law:  $f|(A, j(A, \tau)) = f$  for all  $A \in \Gamma_0(4N)$ .

Let  $S_{k+1/2}^+(4N)$  denote the Kohnen plus space in  $S_{k+1/2}(4N)$  and let  $S_{k+1/2}^{+,new}(4N)$  the space of newforms in the plus space. For this we refer to [5].

Let  $T_n$  denote the Hecke operator on the space  $S_{2k}(N)$  and  $T_{n^2}, (n, N) = 1$  denote the Hecke operator on the space  $S_{k+1/2}^+(4N)$ . For a prime  $p$ , we denote the Hecke operators by  $T_{p^2}$  when  $(p, N) = 1$  and by  $U_{p^2}$  when  $p|N$  on  $S_{k+1/2}^{+,new}(4N)$ . Let  $f \in S_{k+1/2}^+(4N)$  be a Hecke eigenform equivalent to a normalised newform  $F \in S_{2k}^{new}(N)$  with

$$f|T_{p^2} = a_F(p)f, \quad (p \nmid N)$$

For  $f \in S_k(N)$ , we define  $U_p$  as

$$f|U_p = p^{k/2-3/4} \sum_{\nu \pmod{p}} f \left| \left( \begin{pmatrix} 1 & \nu \\ 0 & p \end{pmatrix}, p^{k/2+1/4} \right)$$

and if  $p|N$ , there exists  $\lambda_p \in \mathbb{C}$  with  $\lambda_{p^2} = 1$  and we have,

$$f|U_{p^2} = -p^{k-1}\lambda_p f$$

In the following Lemma 4.1, we find the value of the constant  $\lambda_p$  explicitly.

**Definition 3.4.** *Waldspurger formula (see [5])* If  $f, F$  are the Hecke eigenforms as above,  $(D, N) = 1$  with  $(-1)^k D > 0$  is a fundamental discriminant, then we have

$$\frac{a_f(|D|)^2}{\langle f, f \rangle} = \frac{2^{\nu_N} (k-1)!}{\pi^k} |D|^{k-1/2} \frac{L(F, D, k)}{\langle F, F \rangle}$$

where  $\nu_N$  denotes the number of distinct prime divisors of  $N$ .

**Definition 3.5.** For each prime divisor  $p$  of  $N$  we put

$$w_p = p^{-k/2+1/4}U_pW_p$$

where  $W_p$  is the  $W$ - operator on  $S_{k+1/2}(4M)$ ;  $M|N$  we define

$$W_p = \left( \left( \begin{matrix} pa & b \\ 4Mc & p \end{matrix} \right), p^{-1/4}(4Mc\tau + p)^{1/2} \right)$$

where  $a, b, c$  are integers such that  $b \equiv 1 \pmod{p}$  and  $p^2a - 4Mpc = p$ .

The definition given here is same as defined by Kohlen in [4], but slightly differs by a constant  $\alpha$  with  $\alpha^2 = 1$ .

**4. Properties of  $w_p$  operators (refer [6])**

- $f|T_{p^2} = f|U_{p^2} + p^{k-1}f|w_p, \quad (p \nmid N)$
- For  $p|N$ , the  $W$ - operator  $w_p$  acts as the identity operator on  $S_{k+1/2}^+(4N)$ .
- The space  $S_{k+1/2}^{+,new}(4N)$  has a basis of eigenforms with respect to the Hecke operators  $T_{p^2}, \quad p \nmid N$ , or  $U_{p^2}, \quad p|N$ . Further, these are eigenforms with respect to the  $W$ - operators  $w_p, \quad p|N$ .

**Lemma 4.1.** If  $f$  is a newform in  $S_{k+1/2}^+(4N)$ , then for a prime  $p, f|w_p = -\left(\frac{D}{p}\right)p^{k-1}f$ , where  $(-1)^kD > 0$  is a fundamental discriminant,  $(D, N) = 1$  and  $a_f(|D|) \neq 0$ .

**Proof.** For the proof we use equation (9) of [4].

$$\begin{aligned} f|w_p &= f \left| \left( p^{-\frac{k}{2}+\frac{1}{4}}U_pW_p \right) \right. \\ &= p^{-1/2} \left( \frac{-4}{p} \right)^{k+1/2} \sum_{\alpha(p^*)} f \left| \left( \left( \begin{matrix} p & \alpha \\ 0 & p \end{matrix} \right) \left( \frac{-\alpha}{p} \right) \right) + p^{-1/2}f \left| \left( \left( \begin{matrix} 1 & v_0 \\ 0 & p \end{matrix} \right), p^{1/4} \right) W_p. \right. \end{aligned}$$

Thus,

$$f|w_p = \sum_{n \geq 1} \left( \frac{(-1)^{kn}}{p} \right) a_f(n)q^n + p^{-1/2}f \left| \left( \left( \begin{matrix} 1 & v_0 \\ 0 & p \end{matrix} \right), p^{1/4} \right) W_p \right.$$

where  $v_0$  is an integer with  $a + 4\frac{M}{p}v_0c \equiv 0 \pmod{p}$

Now,

$$\left( \left( \begin{array}{cc} 1 & v_0 \\ 0 & p \end{array} \right), p^{1/4} \right) W_p = \left( \left( \begin{array}{cc} 1 & 0 \\ 0 & 1 \end{array} \right), \left( \frac{-4}{p} \right)^{1/2} \right) C^* W_p \left( \left( \begin{array}{cc} p & 0 \\ 0 & 1 \end{array} \right), p^{-1/4} \right)$$

where,  $C \in \Gamma_0(4M)$ . [refer pg. 41, [4]]

Hence,

$$f|w_p = \sum_{n \geq 1} \left( \frac{(-1)^k n}{p} \right) a_f(n) e^{2\pi i n \tau} + \lambda f|W_p \left( \left( \begin{array}{cc} p & 0 \\ 0 & 1 \end{array} \right), p^{-1/4} \right).$$

Let  $f|w_p = \lambda_p f$ .

Substituting this in the above we get,

$$\lambda_p f = \sum_{n \geq 1} \left( \frac{(-1)^k n}{p} \right) a_f(n) e^{2\pi i n \tau} + \lambda f|W_p \left( \left( \begin{array}{cc} p & 0 \\ 0 & 1 \end{array} \right), p^{-1/4} \right).$$

Comparing the  $n^{th}$  Fourier coefficients on both sides where  $p \nmid N$ , we get

$$\lambda_p a_f(n) = \left( \frac{(-1)^k n}{p} \right) a_f(n), \quad p \nmid N.$$

Since  $p \nmid n$  and  $f|W_p$  is invariant under  $\left( \left( \begin{array}{cc} 1 & 1 \\ 0 & 1 \end{array} \right), 1 \right)$  the second term

$\lambda f|W_p \left( \left( \begin{array}{cc} p & 0 \\ 0 & 1 \end{array} \right), p^{-1/4} \right)$  has zero as its  $n^{th}$  Fourier coefficient whenever  $(n, p) =$

1. Hence, if we select  $n$  such that  $a_f(n) \neq 0$  with  $(n, p) = 1$  we get,

$$\lambda_p = \left( \frac{(-1)^k n}{p} \right).$$

If  $(D, p) = 1$ , set  $(-1)^k D = n > 0$ , then the eigenvalue is  $\left( \frac{D}{p} \right)$ .

If  $f$  is a newform as above in  $S_{k+1/2}^+(4N)$ , then, we have the following theorem.

**Theorem 4.2.** *We normalise  $f$  by letting  $a_f(n)$  to be real and algebraic.*

**Proof.** Let us consider for a prime  $p$  the  $k_p$  operator studied by Serre and Stark [10] which maps  $\sum_{n \geq 1} a_f(n) e^{2\pi i n \tau}$  into  $\sum_{n \geq 1} \overline{a_f(n)} e^{2\pi i n \tau}$ . In that, they proved that  $k_p$  maps

$S_{k+1/2}(4N)$  to  $S_{k+1/2}(4N)$ . But, using the definition of plus space they concluded that, it also maps

$$S_{k+1/2}^+(4N) \mapsto S_{k+1/2}^+(4N)$$

Moreover, it commutes with  $T_{p^2}$  and  $U_{p^2}$ . Hence,  $f|k_p$  and  $f$  have same eigenvalues under all the Hecke operators. The multiplicity one result (proved in [4]) shows that  $f|k_p = \lambda f$ . Since,  $k_p^2$  equals the identity on  $S_{k+1/2}^+(4N)$ ,  $\lambda = \pm 1$ . Therefore, we take either  $f$  or  $if$  and we assume that Fourier coefficients are all real.

Thus, we let  $f \in S_{k+1/2}^+(4N)$  to be a Hecke eigenform whose Fourier coefficients are all real. In order to prove that they are all algebraic we use the following two results.

If  $D$  is a fundamental discriminant with  $(-1)^k D > 0$  and  $n \geq 1$  we have

$$a_f(|D|n^2) = a_f(|D|) \sum_{d|n} \mu(d) d^{k-1} \left(\frac{D}{d}\right) a_F(n/d).$$

If  $\nu_N$  denotes the number of different prime divisors of  $N$ , then we have

$$\frac{a_f(|D|)^2}{\langle f, f \rangle} = \frac{2^{\nu_N} (k-1)!}{\pi^k} |D|^{k-1/2} \frac{L(F, D, k)}{\langle F, F \rangle}$$

Due to these two results it is enough to prove the algebraic nature for  $a_f(|D|)$  whenever  $D$  is a fundamental discriminant with  $(-1)^k D > 0$ . The above formula due to Waldspurger is the same for both  $f$  and  $-if$ . Using the result of [5]

$$|D|^{-1/2} \pi^{-k} \frac{L(F, D, k)}{\omega_{(-1)^{k-1}}}$$

is algebraic and real and using  $\langle F, F \rangle = \omega_{(-1)^{k-1}} \omega_{(-1)^k}$ , which is a product of two positive real constants and selecting  $f$  such that  $\langle f, f \rangle = \omega_{(-1)^k}$ , we get  $a_f(|D|)^2$  is real, positive and algebraic. This proves that  $a_f(|D|)$  is real and algebraic.

Thus, we have the following:

**Theorem 4.3.** *If  $f$  is in the old class and  $f$  is the Hecke eigenform and eigenform under all  $W$  operators then,  $a_f(n)$  are real and algebraic.*

**Proof.** Let  $g \in S_{k+1/2}^{new}(4M)$ ,  $(M|N)$  be a non-zero Hecke eigenform.

Let  $f$  be an eigenform in the space  $S_{k+1/2}^{+,old}(4N)$  and generated by a newform  $g \in S_{k+1/2}^{+,new}(4M)$ ,  $M|N$ , under all  $W$ - operators  $w_p$ ,  $(p|N)$ , where  $M$  is a proper divisor of  $N$ . Thus, using  $g$  is an Hecke eigenform under all Hecke operators we

conclude that  $a_g(n)$  are algebraic and real. Moreover, its eigenvalue under the  $W$  operator for a prime  $p|N$  is  $\left(\frac{D}{p}\right)$ . We write

$$f = g \left| \left( \sum_{d|N/M} \left(\frac{D}{d}\right) w_d \right) \right|,$$

We see that  $f$  is an eigenform under all  $w$ - operators  $w_p$ ,  $p|N$  and  $f$  is an eigenform under all Hecke operators  $T_{p^2}$ , ( $p \nmid M$ ). Also, by using

$$p^{k-1}g|w_p = g|T_{p^2} - g|U_{p^2}$$

which was derived in [6] such that  $p \nmid M$  and  $p|\frac{N}{M}$  and from the fact that the Fourier coefficients of  $g$  are real and algebraic, the result is immediate by the Lemma.

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